

**Government of India**  
**Department of Scientific and Industrial Research**  
**PROMOTING INNOVATIONS IN INDIVIDUALS, START-UPS and MSMEs (PRISM)**

**PRISM\_Phase-II**

**Guidelines**

**Eligibility**

- Innovators who have successfully completed project under PRISM Phase-I or Innovators who have successfully demonstrated proof of concept with the support of other Government Institutions / Agencies and who desires to take innovation to market by becoming Technopreneur.
- The innovators who have availed financial support from other Government Institutions / Agencies must obtain certificate from Competent Financial Authority of relevant Government Institutions / Agencies clearly indicating that there is no loan or any other financial liabilities pending with the Innovator.
- The proposals shall preferably be in the following focus sectors: Green Technology, Clean Energy, Industrially utilizable smart materials, Waste to wealth, Affordable healthcare, water & sewage management, any other technology or knowledge intensive area.

**Scope & Support**

- Project cost more than Rs 35.00 lakhs and up to Rs 100.00 lakhs.
- Proposals by independent Innovator and the proposed activities aim at improving market access by carrying out such value added work like adding product / process features/ protection by patenting/ aesthetic design, limited production for market seeding etc as per approved business plan.
- Maximum support under this category is Rs 50.00 lakh or 90% of the approved project cost, whichever is lower and innovator need to raise resources for balance of the project cost.
- IPR generated in the project, if any, shall belong to the beneficiary i.e. to the innovator and DSIR shall not have any rights on the IPR.
- The support will aim at to scale up the innovation for initial trials/ testing/user's acceptance/international patenting etc or aim at to establish and validate proof of concept and to enable creation of spin-offs or aim at demonstration of marketable product /process towards enterprise creation.

**Mechanism**

- The proposals can be submitted to the nearest TePP Outreach cum Cluster Innovation Centre (TOCIC). The innovators can meet coordinators of TOCICs and take their advice before submitting the application.

- The proposals directly received at DSIR will also be forwarded to relevant TOCIC for counselling/ local screening.
- The applicant will be presenting their achievements in prior phase and plans for commercialization to TePP Outreach cum Cluster Innovation Centre (TOCICs).
- The applicant has to submit detailed Business Plan along with the application.
- The project proposal will be evaluated by TOCICs in consultation with DSIR with the help of domain experts and financial experts both.
- The evaluated proposals may be put forth to PRISM Advisory and Screening Committee (PASC) for consideration. Applicant will be given an opportunity to make presentation to PASC. If PASC recommends the proposal for PRISM support, it will be processed on file for approval and release of grant.
- To facilitate 'financial closure', the innovator will be given letter of intent, based on which he can firm up agreements with banks/ institutions/VCs/any other venture firms / Host Organization to raise their part of resources.
- After Financial closure, the applicant has to sign "Terms & Conditions" prior to the actual release of grants-in-aid.
- The first release is based on milestones/deliverables projected in the project proposals and as recommended by PASC and subsequent releases are based on assessment by Project Review Committee (PRC).
- The approved projects will be monitored by TOCIC/ other network partner along with other technical expert(s). TOCIC will be periodically reporting project status to DSIR at an interval of 3 months. The PRCs consisting of external experts will meet at least once in nine months to review the progress of the project.
- The project completion report will be accepted by the Competent Authority in the DSIR based on PRC recommendation after successful closure of the project.

### **Limiting conditions**

- Eligibility is limited to those mentioned above.
- There is a need to submit viable Business plan.
- PRISM support will not exceed 50% of approved project cost subject to ceiling of Rs. 50.00 lakhs and innovator need to raise resources for balance of the project cost. This arrangement has to be made before release of first sanction by PRISM.
- Funds will be released to the independent innovator and shall be linked to milestones/deliverables.
- Manpower costs supported will not exceed 20% of the approved project cost. Innovator's salary and rental expenses for use of own facilities are not eligible for support.
- Travel costs supported will not exceed 5% of the approved project cost.
- The PRISM support should not be utilized for repayment of loan liabilities including interest etc, rental charges of space and so on. The grants-in aid support may be utilized for product development/creation of scale up facilities.
- The retention schedule of documents for PRISM for RTI Act, 2005 may be as follows:
  - Rejected or not supported Application: One Year since the date of rejection
  - Approved proposals: Three years (since the closure of the project/issuance of final sanction order).

- The decision of Competent Authority in DSIR in respect of PRISM scheme will be final and binding upon the stake holders.
- In case of any dispute, the liability of PRISM is limited to the jurisdiction of Delhi High Court only.

***N.B.: The guidelines are subject to change periodically if desired so by the Competent Authority/Department.***

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**PRISM\_Phase-II**

**Application Form**

**Photo**

1. Project title :
2. a. Name of the applicant:
  - b. Father's name/Husband's name:
  - c. Postal address:

- Present Address:
  
  - Permanent Address:

*N.B:*

1. *Please provide Pin Code, Telephone numbers, mobile number and e-mail address*
2. *Please enclose residence certificate issued by Sub-Divisional Magistrate(SDM)/District Magistrate(DM) or a copy of ration card or any other document regarding proof of residence or AADHAAR Number*

- d. PAN No.
- e. Aadhaar No.
- f. Date of Birth/Age: \_\_\_\_\_  
YY /MM/DD
- g. Educational qualification: \_\_\_\_\_
- h. Current Professional Experience / Employment status of the applicant  
(For working Innovator, No Objection Certificate from Head of Institute / Organization is required)
- i. Annual Income of the applicant:  
(please provide Your PAN No and attach a copy of the latest Income Tax returns)
- j. Website (if any):
- k. Name of the Sponsoring/Collaborating Organisation, if any  
(along with Postal Address with Pin Code, telephone/mobile no., fax number and e-mail, if any)

3. Status of the work already carried out such as

- Prototype developed and tested
- Process demonstrated at Pilot scale
- Patents filed
- Product Certification received
- Market survey and Business plan completed
- Consumers/ users feedback received, if any
- Any other

*(Enclose documentary proof of work done by way of photographs, video clips . forward orders etc.)*

4. (a) Need and justification for the proposed project:

*(Elaborate in separate sheets)*

(b) Innovation merit and Business advantage along with details of existing technology *(Attach copy of Business Plan)*

(c) Category of technology/innovation (specify process/product/new application/other)

(d) Project summary giving broad details of the activities to be undertaken, action plan, major milestones/timeliness, patenting, photographs of innovation and expected duration:

5. Proposed expenditure and time frame:

| Items  | Estimated cost |                      | Assisting Agency | Duration (months) |
|--|----------------|----------------------|------------------|-------------------|
|  | Total cost     | PRISM Support sought |                  |                   |
| Technology development activities<br>- R&D/Design Engineering/Consultancy<br>- Plant & Machinery<br>- Raw Material and Accessories<br>- Scale-up/replication of prototypes/processes |                |                      |                  |                   |
| Product/Process Validation<br>- Testing and trials   |                |                      |                  |                   |
| Any others (please specify)<br>- Travel, patenting cost, etc.  |                |                      |                  |                   |

N.B.: The project duration would generally not exceed 24 months.

6. Activity details/work plan

| Sr. No. | Activities | Monitor-able milestones (basis: Scope and Support) | Duration (months) | Budget required (Rs. in lakh) |
|---------|------------|--|-------------------|-------------------------------|
|         |            |  |                   |                               |

7. Details of Sources of Finance for balance funds:

❖ Banks/Financial Institutions:

❖ Venture Capitalists:

❖ Any other:

8. Name and address of co-investors:

9. Have you approached to any other organization/agency for financial support for the current activity? Please give details etc.

10. Please comment on the environment and safety aspects of the project and related product.

11. End Product/Outcomes/Deliverables(basis: refer Scope and Support)

12. Any awards or recognition related to the innovation:

13. Details of Mentors/Guide, if any:

14. Any other information that you wish to share with us to help us in judging your application.

15. Declaration:

I/We declare that all the statements made in this application are true, complete and correct to the best of my/our knowledge and belief. In the event of any information, found false or incorrect, my/our candidature will stand cancelled and all my claims will be forfeited. Further, it is stated that I/We have not received any financial assistance for the present proposal from any other agency. I/We hereby also certify that no loan or any other financial liabilities are pending with me/us on accord of financial support availed by me/us from other Government Institutions / Agencies for my/our any other innovations.

Place :

Date :

(Signature of the applicant)

16. RECOMMENDATIONS OF THE FORWARDING TePP Outreach Cum Cluster Innovation Centre (TOCIC)

Place:

Date:

Signature of the Head, TOCIC

## No Objection Certificate for the Student /Employee Innovators

The student / employee innovator ----- is studying /working in our institute/organization since ..... . The institute/organization has no objection to the innovator taking up the innovation work as proposed with financial support under PRISM. The institute laboratories will be made available on chargeable basis to the innovator for executing his/her PRISM project. The Institute/Organization will be responsible for final completion of project in case the Innovator leaves the Institute/Organization without completion of the project.

.....

Competent authority

Signature with Seal

**Performa for NO DUES CERTIFICATE**

*(Applicable to Innovators who have successfully demonstrated proof of concept with the support of other Government Institutions / Agencies)*

This is to certify that Shri/Smt./Ms./Dr. -----  
of -----  
has successfully completed a project entitled “ -----  
-----“

to the satisfaction of the sanctioning authority. Further, there is no loan or any other financial liabilities pending against the said project. This Department/Ministry/Institute has no objection to the innovator further taking up the innovation work with financial support under PRISM.

.....

Competent Financial Authority

Signature with Seal



### Evaluation by Domain expert (s)

1.Name of the Expert :  
(e-mail ID, mobile no., contact address)

2.Name of the applicant :

3.Title of the Proposal :

#### Part A (to be filled first by applicant and later by expert)

4. Expert comments on the proposal

|  | Applicant<br>(to be completed by the<br>applicant) | Expert<br>(to be completed by the<br>expert) |
|--|--|--|
| Work done on this<br>innovation and<br>documented till now   |  |  |
| Achievements in prior<br>phase of work   |  |  |
| Need for activities, now<br>proposed   |  |  |
| Details of Support from co-<br>investors like Angel<br>investors/VCs/<br>Banks/Financial<br>Institutions/SIDBI/NABARD<br>in implementing the project |  |  |

**Part B ( To be filled by expert only)**

5. Expert comments on proposed innovation

|   | Expert comments |
|---|-----------------|
| Capability of applicant and team to take the innovation to the market |                 |
| Quality of implementation plan as proposed                            |                 |
| Justification of budget   |                 |
| Recommendations to PRISM PASC   |                 |

## Evaluation by Financial expert(s)

1. Name of the Expert :  
(e- mail ID, mobile no, contact address)

2. Name of the applicant :

3. Title of the Proposal :

4. Expert comments on proposed Plan :

|                                  | Expert comments |
|----------------------------------|-----------------|
|                                  |                 |
|                                  |                 |
|                                  |                 |
|                                  |                 |
| Recommendations<br>to PRISM PASC |                 |

## Covering letter to the PRISM Application

Date.....

To:

TePP Outreach cum Cluster Innovation Centre(TOCICs)

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Sub: Proposal for development of .....

Dear .....

I am herewith submitting my application for support under PRISM Phase-II. The following documents are enclosed.

- Signed copy of Application (phase II)
- Proof of Residence  
(Also enclose copy of Aadhaar Card)
- Detailed Project Report
  
- Documentary Proof of Prior Work (video, photo, press coverage etc)
- Documentary proof of interest shown by co-investor
- Description of work proposed
- Business plan, giving profile of user, size of market, competition etc
- Bar chart with mile stones for project schedule

Applicant